

FORMALITIES LETTER



OC000000005293316

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/579,677	05/26/2000	John Edmund Ahern	GB9-2000-0076-US1

IBM Corp IP Law Dept T81/B062
3039 Cornwallis Road
PO BOX 12195
Research Triangle Park, NC 27709-2195

Date Mailed: 08/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/25/2000 AMNDADF1 00000079 090461 09579677
01 FC:105 130.00 CH



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of	:	August 22, 2000
J. W. Ahern, et al	:	IBM Corporation
Ser. No. 09/579,677	:	Dept. T81/Bldg. 062
Filed: 5-26-2000	:	P.O. Box 12195
For: Administration of Groups of Computer	:	Res. Tri. Park, NC 27709
Programs, Data Processing Systems, or System	:	Group 2751
Resources	:	

Notice to File Missing Parts of Non-Provisional Application
Filing Date Granted


Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated 8/3/2000 (copy enclosed), please find the fully executed Declaration and Power of Attorney for Patent Application.

Please accept this memo as authorization to charge the \$130.00 surcharge to IBM's deposit account 09-0461.

Respectfully submitted,


Jeanine S. Ray-Yarletts
Attorney for Applicants
Reg. No. 39,808

JSR:jdI
enclosures
Docket No: GB9-2000-0076-US1
Phone: 919-543-2541
Fax: 919-254-4330

DATE OF DEPOSIT: August 22, 2000

I hereby certify that this paper and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 under 37 CFR 1.8(a).

Dianne Lane
NAME OF PERSON MAILING PAPER AND FEE


SIGNATURE OF PERSON MAILING PAPER AND FEE

Receipt



Practitioner's Docket No. GB920000076-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. E. Ahern, et al

Application No.: 09/579,677

Group No.: 2751

Filed: 05/26/2000

Examiner:

For: Administration of Groups of Computer Programs, Data Processing Systems, or System Resources

Assistant Commissioner for Patents
Washington, D.C. 20231

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TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following, some of which are incorrectly entered and some of which are omitted.

Error in

Correct data

1. Applicant's name

1. Siddall, Peter

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE



deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



transmitted by facsimile to the Patent and Trademark Office.

Signature

Dianne Lane

Date: August 22, 2000

Dianne Lane

(type or print name of person certifying)

(Request for Corrected Filing Receipt--page 1 of 2)

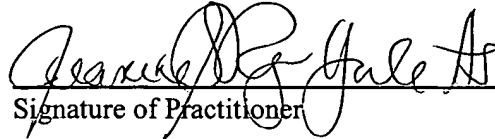
2. Title

2. Administration of Groups of Computer
Programs, Data Processing Systems, or System
Resources

3. The corrections are not due to any error by applicant and no fee is due.

Date: August 22, 2000

Reg. No.: 39,808
Tel. No.: 919-543-2541
Customer No.: 25259



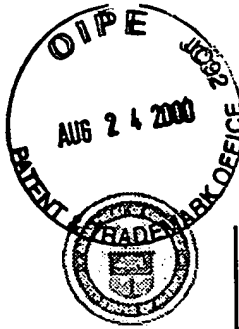
Signature of Practitioner

Jeanine S. Ray-Yarletts
IBM Corp.
Dept. T81/Bldg. 062
P.O. Box 12195
Research Triangle Park, NC 27709

FILING RECEIPT



OC00000005293315

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/579,677	05/26/2000	2751	846	GB9-2000-0076-US1	5	13	5

IBM Corp IP Law Dept T81/B062
3039 Cornwallis Road
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Research Triangle Park, NC 27709-2195

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Date Mailed: 08/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Edmund Ahern, Hampshire, UNITED KINGDOM;
Keith Andrews, Hampshire, UNITED KINGDOM;
Arndt Douglas Eade, Wiltshire, UNITED KINGDOM;
Hazel Heather Fix, Hampshire, UNITED KINGDOM;
Paul Kettley, Hampshire, UNITED KINGDOM;
Daniel Noel Millwood, Residence Not Provided;
Sharuff Morsa, Hampshire, UNITED KINGDOM;
Peter Siddall

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/02/2000

Title

Administration of groups of computer programs, data processing systems, ^{or system} resources

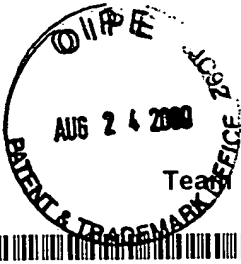
Preliminary Class

711

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 08/03/2000

**RECEIVED**

SEP 06 2000

Group 2700

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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WASHINGTON, D.C. 20231
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Bib Data Sheet

SERIAL NUMBER 09/579,677	FILING DATE 05/26/2000 RULE -	CLASS 711	GROUP ART UNIT 2751	ATTORNEY DOCKET NO. GB9-2000-0076-US1
APPLICANTS John Edmund Ahern, Hampshire, UNITED KINGDOM; Keith Andrews, Hampshire, UNITED KINGDOM; Arndt Douglas Eade, Wiltshire, UNITED KINGDOM; Hazel Heather Fix, Hampshire, UNITED KINGDOM; Paul Kettley, Hampshire, UNITED KINGDOM; Daniel Noel Millwood, Residence Not Provided; Sharuff Morsa, Hampshire, UNITED KINGDOM; Peter Siddall, Residence Not Provided;				
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/02/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY UNITED KINGDOM	SHEETS DRAWING 5	TOTAL CLAIMS 13
			INDEPENDENT CLAIMS 5	
ADDRESS IBM Corp IP Law Dept T81/B062 3039 Cornwallis Road PO BOX 12195 Research Triangle Park ,NC 27709-2195 <div style="text-align: right; margin-top: 20px;"><i>Customer No. 25259</i></div>				
TITLE Administration of groups of computer programs, data processing systems, or system resources				
FILING FEE RECEIVED 976	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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